



Department of Energy
Washington, DC 20585

October 8, 2019

Ms. Emma Best
Muck Rock News
DEPT MR 79424
411A Highland Ave
Somerville, MA 02144-2516

Re: Freedom of Information Act Request HQ-2019-01294-F

Dear Ms. Best:

This is a final response from the Department of Energy (DOE), Office of Inspector General (OIG) under the Freedom of Information Act (FOIA), 5 U.S.C. § 552. You requested the following:

“A copy of the list or printout or database listing of Inspector General investigations closed/reports completed during calendar year 2015.”

The OIG has completed the search and review of its files and identified one document responsive to your request. A review of this document and a determination concerning the release has been made pursuant to the FOIA. Based on this review, the OIG determined that certain material should be withheld pursuant to subsections (b)(6) and (b)(7)(c) of the FOIA, referred to as Exemptions 6 and 7(c), respectively.

Exemption 6 protects from disclosure “personnel and medical and similar files the disclosure of which would constitute a clearly unwarranted invasion of personal privacy. . . .” Exemption 7(C) provides that “records or information compiled for law enforcement purposes” may be withheld from disclosure, but only to the extent the production of such documents “could reasonably be expected to constitute an unwarranted invasion of personal privacy. . . .”

Names and information that would tend to disclose the identity of certain individuals have been withheld pursuant to Exemptions 6 and 7(C). Individuals involved in the OIG enforcement matters, which in this case include subjects, witnesses, sources of information, and other individuals, are entitled to privacy protections so that they will be free from harassment, intimidation and other personal intrusions.

In invoking Exemptions 6 and 7(C), we have determined that it is not in the public interest to release the withheld material. In this request, we have determined that the public interest in the

identity of individuals who appear in these files does not outweigh these individuals' privacy interests. Those interests include being free from intrusions into their professional and private lives.

For your information, Congress excluded three discrete categories of law enforcement and national security records from the requirements of the FOIA. See 5 U.S.C. 552(c) (2006 & Supp. IV 2010). This response is limited to those records that are subject to the requirements of the FOIA. This is a standard notification that is given to all our requesters and should not be taken as an indication that excluded records do, or do not, exist.

To the extent permitted by law, the DOE, in accordance with Title 10, Code of Federal Regulations (C.F.R.), Section 1004.1, will make available records it is authorized to withhold pursuant to the FOIA unless it determines such disclosure is not in the public interest. As required, all releasable information has been segregated from the material that is withheld and is provided to you. See 10 C.F.R. § 1004.7(b)(3).

This decision may be appealed within 90 calendar days from your receipt of this letter pursuant to 10 C.F.R. 1004.8. Appeals should be addressed to the Director, Office of Hearings and Appeals, HG-1 /L'Enfant Plaza Building, U.S. Department of Energy, 1000 Independence Avenue, SW, Washington, DC 20585-1615 or by email to OHA.filings@hq.doe.gov, including the phrase "Freedom of Information Appeal" in the subject line.

Thereafter, judicial review will be available to you in the Federal district court either 1) in the district where you reside; 2) where you have your principal place of business; 3) where the Department's records are situated, or 4) in the District of Columbia.

If you have any questions about the processing of your request, you may contact our FOIA Public Liaison, Mr. Alexander Morris, by phone at (202) 586-5955 or by email at Alexander.Morris@hq.doe.gov, to discuss any aspect of your request. Please know that you also have the right to seek dispute resolution services from the FOIA Public Liaison or the Office of Government Information Services, <https://ogis.archives.gov>, via telephone, (202) 741-5770 // (877) 684-6448 (toll free), by fax: (202) 741-5769 or email ogis@nara.gov.

Sincerely,



Dustin R. Wright
Assistant Inspector General
for Investigations
Office of Inspector General

Enclosure

Document Number 1

Cases Closed Final Status

Start Range: 01JAN2015

End Range: 31DEC2016

Number	Title	Status	Status Date
11-0065-I	(b)(6);(b)(7)(C) THEFT GOV PROPERTY; INL	Closed	05Jan2015
14-0112-I	Theft of Government Property (Proactive); National Energy Technology Laboratory Pittsburgh, PA	Closed	09Jan2015
10-0170-I	SBIR FRAUD; PROACTIVE MONITORING INVESTIGATION, MA	Closed	13Jan2015
13-0041-I	PROSPERITY MEDIA ENTERPRISE; Closed MISUSE OF ARRA FUNDS	Closed	14Jan2015
14-0039-I	UNKNOWN SUBJECTS; WIRE FRAUD; AMES	Closed	14Jan2015
11-0003-I	WEBB COUNTY CAA; FC-FS PUBLIC CORRUPTION ARRA WAP; LAREDO, TX	Closed	15Jan2015
15-0015-I	Unknown subject; Damage to property; Oliver Springs TN	Closed	15Jan2015
(b)(3);31 U.S.C. § 3730 15-0028-I	(b)(6);(b)(7)(C) Qui Tam; Closed Nashville, TN	Closed	15Jan2015
14-0102-I	Alleged Time Card Irregularities; Savannah River Site	Closed	20Jan2015

OF THE DCS

15-0009-I	Mox Services Inc; Injury Misreporting; SRS, Aiken, SC	Closed	20Jan2015
15-0005-I	(b)(6)(b)(7)(C) Kickbacks; OST Albuquerque	Closed	21Jan2015
13-0082-I	SANDIA CORPORATION; CONSULTING CONTRACTS PROACTIVE; SNL-NM	Closed	03Feb2015
13-0055-I	CITY OF LOS ANGELES; QUI-TAM; DEPARTMENT	Closed	12Feb2015
14-0070-I	LBNL Procurement; False Statements; Berkeley Lab	Closed	12Feb2015
15-0039-I	(b)(6)(b)(7)(C); Gambling Ring; SRS, Aiken, SC	Closed	13Feb2015
10-0094-I	(b)(6)(b)(7)(C) FALSE CLAIM; OAK RIDGE, TN	Closed	19Feb2015
14-0117-I	EPRI; Contract Cost Allocation Concerns; OE	Closed	19Feb2015
15-0057-I	(b)(6)(b)(7)(C) Removal of Sensitive Files; SRS	Closed	19Feb2015
12-0145-I	COUNTERPROLIFERATION INVESTIGATIONS/EXPORT CONTROLS	Closed	20Feb2015
13-0063-I	MULTIPLE COMPANIES; GRANT FRAUD; DEPARTMENT	Closed	23Feb2015
14-0114-I	(b)(6)(b)(7)(C) Suspicious Activities; SRS	Closed	24Feb2015
14-0032-I	NOVA; SCA VIOLATIONS; SRS	Closed	04Mar2015

OF THE DCS

11-0062-I	(b)(6),(b)(7)(C); PERSONAL CALLS CHARGED TO THE DOE BY WRI; WY	Closed	12Mar2015
11-0064-I	RATB-L-0207; MISUSE OF FUNDS BY OGLALA SIOUX TRIBAL COUNCIL	Closed	12Mar2015
12-0049-I	(b)(6),(b)(7)(C); CONTRACT FRAUD; INL	Closed	12Mar2015
14-0037-I	CH2M HILL PLATEAU REMEDIATION CO; FCA; HANFORD	Closed	12Mar2015
14-0040-I	(b)(6),(b)(7)(C); TIME CARD FRAUD; FSU	Closed	12Mar2015
14-0065-I	Energy Solutions LLC; Billing Irregs/Cost Mischarging; Salt Lake City, UT	Closed	12Mar2015
15-0056-I	(b)(6),(b)(7)(C); Removal of Sensitive Files; SRS	Closed	12Mar2015
12-0041-I	AFRICAN HERITAGE DANCERS DRUMMERS; MISUSE OF ARRA WAP (EE)	Closed	17Mar2015
14-0093-I	Proactive; Cost Share Mischarging; DOE	Closed	25Mar2015
08-0056-I	(b)(6),(b)(7)(C); BID-RIGGING AND TGP; OCRWM, NEVADA	Closed	26Mar2015
15-0059-I	(b)(6),(b)(7)(C); Identity Theft; Samico Professional Service; Knox County, TN	Closed	30Mar2015

12-0100-I	(b)(6),(b)(7)(C); RELEASE OF CONFIDENTIAL PROCUREMENT DATA: BPA (b)(6),(b)(7)(C); THEFT; GSA COMPUTERS FOR LEARNING PROGRAM	Closed	13Apr2015
12-0104-I	(b)(6),(b)(7)(C); THEFT; GSA COMPUTERS FOR LEARNING PROGRAM	Closed	13Apr2015
13-0104-I	WEST SEATTLE AND VALLEY RECYCLING; PURCHASE STOLEN METAL	Closed	13Apr2015
15-0014-I	Solar Planet Inc.; Charley Shin et al; Qui Tam (UNDER SEAL); Columbus, OH	Closed	13Apr2015
13-0094-I	EDUCATIONAL ADVANCEMENT ALLIANCE; FALSE CLAIMS	Closed	16Apr2015
14-0023-I	(b)(6),(b)(7)(C); THEFT OF GOVERNMENT PROPERTY; NETL PGH	Closed	16Apr2015
10-0068-I	VICS; FALSE CLAIMS/STATEMENTS - GRANT; DEPARTMENT	Closed	20Apr2015
12-0061-I	(b)(6),(b)(7)(C); FALSE CLAIMS DUPLICATE FUNDING; LBNL	Closed	20Apr2015
12-0108-I	NEW AGE CONCEPTS; WAP FRAUD: NC	Closed	29Apr2015
13-0049-I	(b)(6),(b)(7)(C); FALSE CLAIMS; CAP RIVERSIDE	Closed	30Apr2015
12-0072-I	SENTIENT CORP; SBIR FRAUD; BRENTWOOD, TN	Closed	05May2015

(b) (6), (b) (7)(C)

15-0025-I	Holman's; Civil False Claims; Sandia	Closed	05May2015
15-0073-I	National Laboratories-NM (b) (6), (b) (7)(C); Conflict of Interest;	Closed	05May2015
15-0070-I	SWPA (b) (6), (b) (7)(C); PII Irregularities; EIA	Closed	07May2015
14-0091-I	(b) (6), (b) (7)(C); Adult Pornography;	Closed	14May2015
14-0097-I	HQ OCIO (b) (6), (b) (7)(C); CP/Data	Closed	14May2015
14-0099-I	Spill: INL (b) (6), (b) (7)(C); COI; HQ EERE	Closed	14May2015
12-0002-I	(b) (6), (b) (7)(C); FC-FS T&A	Closed	15May2015
15-0045-I	ABUSE; SNL-NM (b) (6), (b) (7)(C); Allegations of Prohibited Personnel Practices, COI, and T/A Irregularities: NE IPR; (b) (6), (b) (7)(C); HQ CIO	Closed	20May2015
14-0125-I	Forrestal (b) (6), (b) (7)(C); FALSE	Closed	21May2015
09-0014-I	CLAIMS; LANL (b) (6), (b) (7)(C); Travel Irregularities;	Closed	26May2015
15-0010-I	Livermore Field Office	Closed	27May2015
12-0076-I	WILBERFORCE UNIVERSITY;	Closed	29May2015
14-0027-I	GRANT FRAUD; OH (b) (6), (b) (7)(C); and (b) (6), (b) (7)(C); ARRA FRAUD;	Closed	29May2015
15-0053-I	MUSKINGUM CO. OH	Closed	29May2015
15-0072-I	Vandalism; West Valley (b) (6), (b) (7)(C); Shadowtech; Conflict of Interest; Y-12	Closed	02Jun2015

(b) (6), (b) (7)(C)

CONFIDENTIAL

12-0086-I	SHIELDS ELECTRONICS; PRODUCT SUBSTITUTION; Y-12	Closed	08Jun2015
14-0119-I	GAO 61887; Wastren-EnergX; Double-Billing, False Claims; PPPO	Closed	08Jun2015
14-0095-I	Unknown Subject; Improvised Explosive Device; Fluor-B&W Portsmouth, Picketon, OH	Closed	10Jun2015
15-0020-I	Allegation of Mismanagement; NETL	Closed	22Jun2015
11-0004-I	(b)(6),(b)(7)(C); WEATHERIZATION FRAUD ARRA; BLOOMFIELD, NM	Closed	30Jun2015
13-0034-I	(b)(6),(b)(7)(C); (b)(6),(b)(7)(C); BRIBERY; NYC	Closed	06Jul2015
14-0007-I	Unknown; Counterfeit Cisco; NNSA/Pantex	Closed	06Jul2015
14-0113-I	Y-12 Letter; Security; Oak Ridge, TN (UCNI)	Closed	06Jul2015
15-0002-I	Community Environmental Center, Failure to Settle Contract Payments; (b)(6),(b)(7)(C); THEFT OF	Closed	06Jul2015
14-0020-I	GOVERNMENT PROPERTY; SLAC	Closed	07Jul2015
14-0100-I	Qui Tam; U.S. ex rel. Williams v. University of Houston, et al. (b)(6),(b)(7)(C); BOMB THREAT; ALBUQUERQUE COMPLEX	Closed	13Jul2015
13-0004-I		Closed	17Jul2015
(b)(3); 31 U.S.C. § 3730 14-0030-I	(b)(6),(b)(7)(C); Qui Tam; Burlington, MA	Closed	20Jul2015

CONFIDENTIAL

15-0068-I	(b)(6),(b)(7)(C) Suspicious Activity; ORO	Closed	20Jul2015
11-0024-I	(b)(6),(b)(7)(C) OLHSA; Conflict of Interest WAP; Pontiac, MI	Closed	22Jul2015
13-0093-I	WVES; FALSE CLAIMS ON CONTRACT CLOSEOUT WEST VALLEY	Closed	22Jul2015
14-0054-I	EMPLOYMENT FRAUD (PROACTIVE); FALSE CLAIMS; OAK RIDGE RESERVATION (b)(6),(b)(7)(C);	Closed	22Jul2015
14-0121-I	TGP/FS; Los Alamos National Laboratory	Closed	22Jul2015
15-0060-I	(b)(6),(b)(7)(C) TGP; LANL	Closed	22Jul2015
14-0077-I	GOLDBELT EAGLE; URS; Alleged False Claims on NETL Maintenance Contract	Closed	23Jul2015
14-0106-I	(b)(6),(b)(7)(C) Misuse of Position, Sexual Harassment; EERE	Closed	23Jul2015
13-0021-I	(b)(6),(b)(7)(C) FC-FS; WAPA	Closed	28Jul2015
13-0054-I	(b)(6),(b)(7)(C) TIMECARD FRAUD; LIVERMORE LAB	Closed	28Jul2015
15-0042-I	(b)(6),(b)(7)(C) Harassment; NNSA OST Albuquerque NM	Closed	03Aug2015
15-0032-I	(b)(6),(b)(7)(C) Time Card Irregularities; Los Alamos Field Office - NNSA	Closed	04Aug2015
15-0100-I	Qui Tam; ex rel. Adams v. Dell Computer; False Claims	Closed	06Aug2015

15-0034-I	Ahtna Facility Services; Procurement Irregularities, Bribery, and Waste of Funds; Fossil Energy Regents of the Univ of CA; Qui-Tam; LBNL	Closed	07Aug2015
14-0092-I	ARRA; [REDACTED] CONFLICT OF INTEREST FOUR COUNTY SVCS INC; NC	Closed	11Aug2015
14-0083-I	[REDACTED] FC-FS T&A AND P-CARD ABUSE; LANL	Closed	20Aug2015
12-0004-I	Energy Solutions; False Statements/False Claims; Los Alamos National Laboratory	Closed	26Aug2015
14-0078-I	Time Card Irregularities; Naval Reactors Facility, Idaho National Laboratory	Closed	26Aug2015
15-0103-I	NUCLEAR ENERGY UNIVERSITY PROGRAM; GRANT FRAUD; OFFICE OF NUCLEAR ENERGY	Closed	01Sep2015
14-0057-I	UCPD; False Claims; Berkeley Site Office	Closed	04Sep2015
15-0058-I	[REDACTED] CONFLICT OF INTEREST: Closed	Closed	08Sep2015
12-0050-I	INL [REDACTED] WAP FRAUD; EERE	Closed	08Sep2015
13-0040-I	WASHINGTON CLOSURE	Closed	08Sep2015
13-0102-I	HANFORD; CIVIL FALSE CLAIMS; HANFORD SITE	Closed	08Sep2015
14-0109-I	Dioxide Materials Inc.; SBIR Fraud; Office of Science	Closed	08Sep2015

SECRET

15-0118-I	(b)(6),(b)(7)(C) Employee Health Benefits Fraud; MA	Closed	15Sep2015
15-0044-I	Genesys; Misappropriation of Grant Funds; Office of Science	Closed	17Sep2015
10-0010-I	MEYERS CONTAINERS; FALSE CLAIMS/STATEMENTS; MULTIPLE SITES	Closed	21Sep2015
13-0014-I	TECHNOLOGY INTEGRATION GROUP (TIG); CIVIL FALSE CLAIMS; SNL-NM	Closed	21Sep2015
15-0101-I	Recovery of Lost/Stolen Laptop Computer; HQ CIO	Closed	21Sep2015
14-0068-I	(b)(6),(b)(7)(C); P-Card Fraud; Sandia National Laboratories-NM	Closed	01Oct2015
15-0084-I	Suspect/Counterfeit Items; Monitoring; Oak Ridge AOR	Closed; Monitoring (MN)	05Oct2015
15-0011-I	Theft of Government Property; NETL - Morgantown, WV	Closed; Monitoring (MN)	06Oct2015
12-0101-I	(b)(6),(b)(7)(C) THEFT OF GOVERNMENT FUNDS; MORGANTOWN, WV	Closed	14Oct2015
15-0016-I	Theft of Government Property Monitoring; Region 1; 2015	Closed; Monitoring (MN)	14Oct2015
15-0062-I	THEFT OF GOVERNMENT PROP-- MN; SAVANNAH RIVER SITE, AIKEN, SC	Closed; Monitoring (MN)	14Oct2015
15-0055-I	CUI; False Claims; DOE/WAP	Closed	16Oct2015
10-0006-I	(b)(6),(b)(7)(C); TGP; LANL	Closed	19Oct2015

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15-0069-I	TGP Monitor; Region 3; 2015	Closed; Monitoring (MN)	19Oct2015
15-0087-I	(b)(6), (b)(7)(C) Abuse of Power; EIA	Closed	19Oct2015
15-0040-I	Theft of Government Property (TGP); National Energy Technology Laboratory, Pittsburgh, PA	Closed; Monitoring (MN)	20Oct2015
15-0091-I	Retriev; Allegations of Overhead Charging Irregularities; FE	Closed	21Oct2015
13-0020-I	SEK-CAP; FC-FS ARRA WAP GRANT; GIRARD, KS	Closed	27Oct2015
14-0086-I	(b)(6), (b)(7)(C) Destruction of Govt. Property; NNSA Kansas City Plant	Closed	27Oct2015
15-0043-I	Region 7 FY15 TGP Monitoring File	Closed; Monitoring (MN)	29Oct2015
14-0122-I	(b)(6), (b)(7)(C); False Claims; ORNL	Closed	02Nov2015
15-0029-I	R4I FY15 TGP Monitoring File	Closed; Monitoring (MN)	04Nov2015
15-0006-I	MONITORING; THEFT OF GOV. PROPERTY; LIVERMORE	Closed; Monitoring (MN)	10Nov2015
15-0114-I	(b)(6), (b)(7)(C) Allegation of Post Employment Restriction Violation of CH2M Hill Employee ; GC	Closed	12Nov2015
15-0086-I	(b)(6), (b)(7)(C) Violations of Post Employment Restrictions; NNSA	Closed	18Nov2015
10-0058-I	(b)(6), (b)(7)(C) FS-FC DOE ARPA- E; PENN STATE UNIVERSITY	Closed	20Nov2015
10-0076-I	(b)(6), (b)(7)(C); FALSE CLAIM - GRANT; UC DAVIS	Closed	20Nov2015

15-0085-I	UNK Subj; Privacy Act Violation; NRC/DNFSB; Washington DC	Closed	20Nov2015
15-0108-I	(b)(6), (b)(7)(C) Conflict of Interest; Lawrence Livermore National Laboratory	Closed	23Nov2015
16-0017-I	(b)(6), (b)(7)(C) COI, Knoxville, TN	Closed	23Nov2015
14-0108-I	(b)(6), (b)(7)(C) FS/TGP; Sandia National Laboratories-NM	Closed	24Nov2015
14-0006-I	ARRA; CORRUPTION BY DEKALB COUNTY GA	Closed	01Dec2015
15-0109-I	Proactive Review of Small Business Performing Work at SRS; Aiken, SC	Closed	02Dec2015
15-0046-I	Unknown; Procurement Fraud; NSTec	Closed	07Dec2015
15-0124-I	RPM International, et.al.; Qui Tam, False Claims; Medina, Ohio	Closed	08Dec2015
15-0089-I	Jordan; Misuse of Grant Funds; Fermi	Closed	21Dec2015
14-0082-I	ACTION-Housing; False Weatherization Claims; Charleroi, PA.	Closed	24Dec2015